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Research Paper

## Optimal Coordination of Dual-Setting Directional Over Current Relay in Microgrid Considering Multi-Parametric Characteristics

Priyanshul Niranjan\*, Niraj Kumar Choudhary D, Nitin Singh, and Ravindra Kumar Singh D

Department of Electrical Engineering, Motilal National Institute of Technology Allahabad, Prayagraj, India.

Abstract— Conventional overcurrent protection schemes may not be sufficient to provide the complete protection of microgrids, especially in the islanded mode (ISM) of operation. Directional overcurrent relays (DOCRs) in microgrid may malfunction due to significant changes in fault current level and change in topology from grid-connected mode (GCM) to ISM. The novel contribution of this study is to determine the optimal settings of time-voltage-current-based dual-setting DOCRs with mixed inverse characteristics, valid in both GCM and ISM, without any miscoordination of relay pairs. The relay coordination problem is formulated as a mixed integer non-linear programming (MINLP) problem and optimally solved using an improved environmental adaption method (IEAM). The proposed relay coordination scheme has been tested on a 7-bus microgrid, the low-voltage section of the modified IEEE-14 bus benchmark system. The performance of the proposed protection scheme has been compared with the existing schemes, considering conventional DOCRs, time-voltage-current-based DOCRs, and dual-setting DOCRs.

Keywords—Microgrid, distributed generator, dual-setting DOCRs, protection coordination, IEAM.

### NOMENCLATURE

## Abbreviations

CS Relay's curve selection
CTI Coordination time interval
DG Distributed generator
DOCRs Directional overcurrent relays
EI Extremely inverse characteristics

FL Fault location GA Genetic algorithm GCM Grid-connected mode

IEAM Improved environmental adaption method

IIDG Inverter interfaced DG
ISM Islanded mode
LCT Least coordination time
LP Linear programming

LP Linear programming
MI Mixed inverse characteristics

MINLP Mixed-integer nonlinear programming problem

NLP Nonlinear programming

OBJ The objective function which represents the total operating

time of dual-setting DOCRs

PS Plug setting

PSO Particle swarm optimization RMDG Rotating machine-based DG SI Standard inverse characteristics

TMS Time multiplier setting

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E-mail: priyanshul.2018ree04@mnnit.ac.in (P. Niranjan)

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VI Very inverse characteristics

#### 1. Introduction

The protection of microgrids is a significant challenge as the fault current magnitude varies significantly depending on its mode of operation. The fault current also depends upon the distributed generator (DG) type, namely, inverter interfaced DG (IIDG) and conventional rotating machine-based DG (RMDG) [1–3]. Integration of DG may cause several challenges in microgrids, such as; false tripping, blinding of protection, etc., making it difficult to protect the microgrids [4, 5]. As a result, current research is focused on improving protection schemes to mitigate the impact of DG integration on protection coordination.

DOCRs are one of the most efficient and economical devices to protect the microgrid from overcurrent. The optimal settings and characteristic curves of the DOCRs can be obtained to achieve proper coordination [6, 7]. Several protection strategies incorporating conventional DOCRs [8, 9], time-voltage-currentbased DOCRs [10], dual-setting DOCRs [11], and time-voltagecurrent-based dual-setting DOCRs with standard characteristics have been proposed to solve the relay coordination problem [12]. Conventional and time-voltage-current-based DOCRs are associated with a single relay setting for primary and backup protection. However, dual-setting and time-voltage-current-based dual-setting DOCRs are associated with two different settings, depending upon the direction of the short circuit current. The same relay acts as a primary protective device for the forward direction of the fault current and as a backup protective device for the reverse direction of the fault current.

According to the IEC-60255 standard, conventional DOCRs operating time is determined by their time-current characteristics, which are categorized as standard inverse (SI), very inverse (VI), and extremely inverse (EI) [6]. Usually, the SI characteristic of DOCRs is considered to protect the microgrid in the GCM. However, DOCRs with SI characteristics in the ISM may take more time to sense the fault [13]. As a result, the microgrid will be

stressed for longer period during faults, potentially causing damage to the entire system. Therefore, along with SI characteristics, EI and VI characteristics of DOCRs are better suited to protect microgrids. Thus, the optimal selection of these characteristics makes the relay coordination scheme more flexible, termed the relay's curve selection (CS). Therefore, along with the time multiplier setting (TMS) and plug setting (PS), a third variable, CS, is also considered for each DOCR [6, 14].

Depending on the variables, the relay coordination problem can be expressed as linear programming (LP), nonlinear programming (NLP), and MINLP. In LP, only TMS is used as a decision variable, while PS remains constant, whereas, in NLP, both are treated as continuous decision variables. In MINLP, TMS and PS are considered continuous and discrete variables. With the above schemes, the optimal PS and TMS values are determined by using an improved firefly algorithm [15], revised simplex method [16, 17], genetic algorithm (GA) [18], modified firefly algorithm [19], gravitational search algorithm [20], differential evolution [21], GA-LP [22], fuzzy-based GA [23] and multi-objective PSO [24], etc.

To overcome the maloperation of DOCRs in the microgrid, several protection schemes are proposed that are valid in either of the operating modes, i.e., DOCRs have two different settings, one for each operating mode. The main disadvantage of different settings is that the optimal settings of DOCRs obtained for ISM can cause miscoordination of relay pairs in the GCM and vice versa [8]. To provide the best protection coordination valid in both operating modes, the common optimal settings of DOCRs must be obtained. The optimal solution to the relay coordination problem is obtained using IEAM and compared to existing schemes in the literature. The evaluation includes the total operating time of DOCRs, fault discrimination capability, and coordination accuracy. The test system is simulated in the MATLAB/SIMULINK 2018a at 2.5 GHz on a Core i5-7200U processor. The complete steps of the proposed protection coordination scheme is shown in Fig.1 The significant contributions of the study are as below:

- A modified protection coordination scheme is proposed to determine the common optimal settings of user-defined time-voltage-current-based dual-setting DOCRs considering MI characteristics.
- To determine common optimal settings of time-voltagecurrent-based dual-setting DOCRs, valid in both the operating modes of the microgrid without any miscoordination of relay pairs.
- The optimal solution to the relay coordination problem is obtained using IEAM.
- Compared to existing schemes, the proposed relay coordination scheme significantly reduces the total operating time of DOCRs.

The rest of the paper is structured as follows. Section 2 explains the relay coordination problem formulation considering all the protection strategies and details of IEAM. Section 3 briefly discusses the test system, while Section 4 presents the results and discussion. The conclusion and future direction of the study are included in section 5.

## 2. RELAY COORDINATION PROBLEM FORMULATION

The relay coordination problem formulation has been divided into two sections: one for formulating the objective function and constraints and the other for obtaining the optimal solution.

### 2.1. Relay characteristics

Depending on the manufacturer, the characteristic equation controlling the operating time of DOCRs varies. Conventional DOCRs with time-current characteristics are characterized by the IEC-60255 standard, as shown by Eq. (1) [8], where  $A_D$  and  $B_D$  vary with the relay characteristic types, as mentioned in Table 1.

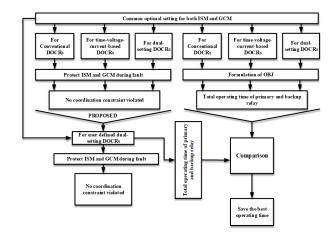


Fig. 1. Proposed common optimal settings of time-voltage-current-based dual-setting DOCRs for both ISM and GCM.

Table 1. DOCR characteristic curve coefficients (IEC-60255 std.).

Relay Characteristics	$A_D$	$B_D$	CS
SI	0.14	0.02	3
EI	80	2	2
VI	13.5	1	1

$$t_{p,i} = A_D \frac{TMS_i}{\left(\frac{I_{sc,i}}{CT_{Ratio,i} \times PS_i}\right)^{B_D} - 1}$$
 (1)

In Eq. (1),  $t_{p,i}$  represents the operating time of the  $i_{th}$  relay. Whereas,  $I_{sc,i}$ ,  $CT_{Ratio,i}$ ,  $PS_i$ , and  $TMS_i$  are the fault current, current transformer ratio, plug setting, and time multiplier setting, respectively of the  $i_{th}$  relay. The overcurrent protection alone may be ineffective in detecting the faults in the ISM of microgrid especially with IIDGs as the generating units. As voltage drop occurs during the fault; therefore, the time-voltage-current-based relay characteristic can be a viable solution to the relay coordination problem, especially in ISM of the microgrid. In [12], a DOCR with a time-voltage-current-based characteristic is proposed. DOCRs with such characteristic isolate the fault in lesser time than the conventional DOCRs with time-current characteristics. The operating time of DOCRs having time-voltage-current-based characteristics is represented by Eq. (2). In Eq. (2), the per-unit phase voltage for the  $i_{th}$  relay is denoted as  $v_{f_i}$  and  $\alpha$  is considered as a decision variable.

$$t_{p,i} = A_D \frac{TMS_i}{\left(\frac{I_{sc,i}}{CT_{Ratio,i} \times PS_i}\right)^{B_D} - 1} \times \left(\frac{1}{e^{1 - v_{f_i}}}\right)^{\alpha} \tag{2}$$

In contrast, dual-setting DOCRs with two sets of optimal settings have been proposed in [11]. The proposed protection coordination scheme combines the time-voltage-current characteristics with the dual-setting feature to propose a time-voltage-current-based dual-setting DOCR. The relay consists of two distinct pairs of settings,  $(TMS_{fw}, PS_{fw})$  and  $(TMS_{rv}, PS_{rv})$  for the forward and reverse direction of fault currents, respectively. The operating time of time-voltage-current-based dual-setting DOCR for forward and reverse the direction of fault currents are shown in Eq. (3) and Eq. (4), respectively.

$$t_{fw\_i}^p = A_D \frac{TMS_{fwi}}{\left(\frac{I_{sc,i}}{CT_{Ratio,i} \times PS_{fwi}}\right)^{B_D} - 1} \times \left(\frac{1}{e^{1 - v_{f_i}}}\right)^{\alpha} \tag{3}$$

$$t_{rv\_k}^{b_k} = A_D \frac{TMS_{rvk}}{\left(\frac{I_{sc,k}}{CT_{Ratio,k} \times PS_{rvk}}\right)^{B_D} - 1} \times \left(\frac{1}{e^{1 - v_{f_k}}}\right)^{\alpha} \tag{4}$$

$$OBJ = \min_{TMS_{fw}PS_{fw}TMS_{rv}PS_{rv}} \sum_{i=1}^{n} \sum_{j=1}^{m} \left( t_{fw,ij}^{p} + \sum_{k=1}^{K} t_{rv,kj}^{b_{k}} \right)$$
(5)

In Eqs. (3) and (4),  $t^p_{fw\_i}$  and  $t^{b_k}_{rv\_k}$  are the operating time of  $i_{th}$  primary and corresponding  $k_{th}$  backup relays for forward and reverse the direction of fault currents, respectively. The protection coordination scheme aims to minimize the total operating time of primary and backup relays subjected to coordination constraints validation. The objective function (OBJ) representing the overall relay operating time is expressed by Eq. (5). All the constraints must be satisfied to achieve the best feasible solution for each relay. The constraints of the proposed relay coordination scheme are expressed from Eqs. (6)-(11). The minimum and maximum limits of TMS for the forward and reverse direction of fault currents are considered 0.1s and 1.1s, respectively [6]. The PS lies between 0.5 and 2.0 [6]. The operating time range of each dual-setting DOCR for the forward  $(t_{fw,i}^p)$  and reverse direction  $(t_{rv,k}^{b_k})$  is considered from 0.1-4.0s, and the value of  $\alpha$  lies between 0-5 [6, 10]. The difference between the operation of the primary and backup relay is termed as least coordination time (LCT), which is considered 0.2s in this study.

$$TMS_{\min,i} \le TMS_{fwi}, TMS_{rvk} \le TMS_{\max,k}$$
 (6)

$$PS_{\min,i} \le PS_{fwi}, PS_{rvk} \le PS_{\max,k}$$
 (7)

$$t_{\min,i}^p \le t_{fw,i}^p \le t_{\max,i}^p \tag{8}$$

$$t_{\min k}^{b} \le t_{\max k}^{b_k} \le t_{\max k}^{b} \tag{9}$$

$$0 \le \alpha \le 5 \tag{10}$$

$$t_{rw,k}^{b_k} - t_{fw,i}^p \ge LCT \tag{11}$$

In the above-mentioned Equations, i represents the index of the primary relay, which ranges from 1 to n, where n is the total number of primary relays in the system. Index k represents the corresponding backup relay associated with the primary relay. It ranges from 1 to K, where K is the system's total number of corresponding backup relays. Index j represents the fault location, which ranges from 1 to m, where m is the total number of fault locations considered.

### 2.2. Improved environment adaptation method (IEAM)

The optimization algorithm minimizes searching time to reach the optimal global solution. IEAM is an improved version of the environment adaptation method [25]. The IEAM utilizes the concepts of particle swarm optimization (PSO) so that the regions discovered by the operators of the environment adaptation method can be exploited [25, 26].

This algorithm uses three different operators, namely the adaption operator, alteration operator, and selection operator. The adaptation operator update the solution  $P_i$  as given in Eq. (12).  $F(P_i)$  is the fitness value of  $P_i$ , and  $\alpha$ ,  $\beta$  represents the random

values to be determined based on the requirements. The total number of bits for an individual is represented by l and  $F_{avg}$  represents the current population's average fitness value. A new solution  $P_{i+1}$  is generated by alteration operator by flipping one or more bits of  $P_i$ . The function of the selection operator is to select the best solutions equal to the size of the initial population.

$$P_{i+1} = (\alpha * (P_i)^{F(P_i)/F_{avg}} + \beta)/(2^l - 1)$$
 (12)

In Eq. (12), i represents the index of individual in binary, P represents the temporary pool and  $\alpha$ ,  $\beta$  represents the random numbers. The adaptation operator is slightly changed compared to the primary or classical environment adaptation method algorithm. In environment adaptation method, the structure of the particle is updated based on the change in an environment only, and there is no role of the best particle in it. However, in the PSO algorithm, the particle's genetic structure also helps to find the best solution. The same idea is used in IEAM, in which the solution structure is upgraded using the guidelines from the genomic structure of the optimal solutions from both the previous and current generations.

The idea that the best solution will use the adaption operator of environment adaptation method and explore the entire search space prevents the problem of stagnation, as in PSO. The operator shown in Eq. (13) is used by all solutions besides the best solution in IEAM.

$$P_{i+1} = (\alpha * (Decoded value in decimal of binary version of P_{in})F(X_i)/F_{avg} + \beta\{(G_i - P_i)\}\}/(2^l)$$
(13)

Where  $G_i$  is the best particle's vector position, and  $P_i$  is the position value of the particle that is supposed to update its structure. The adaptation operator is used to achieve the best solution, as shown by Eq. (14).

$$P_{i+1} = (\alpha * (Decoded \ value \ in \ decimal \ of \ binary \ version \ of \ P_{in})F(X_i)/F_{avg} + \beta)/(2^l)$$
 (14)

The parameter values  $\alpha$  and  $\beta$  are chosen in IEAM such that in the early generation the global optimal global solution can be determined. For the optimal solution, the value of  $\alpha$  is considered as  $(2^l)$ , and  $\beta$  is set to 0.5. To terminate the algorithm, the ratio of  $F_{avg}$  and  $F(G_i)$  is checked in each generation, which provides information about the solution's clustering. When all solutions converge on one point, the ratio becomes one. If the value of  $F_{avg}/F(G_i)$  is greater than or equal to 0.8, the algorithm is converges to provide the global optimum solutions. In this case,  $\alpha$  is set to be very high to perturb the current generation's solutions and avoid premature convergence. The value of  $\alpha$  is stretched again and again until a stable solution is obtained.

## 2.3. Protection coordination methodologies for optimal settings of DOCRs

The optimal settings of DOCRs obtained for ISM may miscoordinate the relay pairs in the GCM and vice-versa. This necessitates the calculation of common optimal settings of DOCRs, suitable to provide optimal protection coordination in both operating modes. The effects of GCM and ISM are combined to obtain the common optimal settings of DOCRs. As a result, the total number of primary and corresponding backup relay pairs doubles. As the constraints of both operating modes are considered together, coordination can be maintained in both modes of microgrid with the settings obtained.

To achieve proper coordination among DOCRs, optimal selection of relay parameters, i.e., TMS, PS, and  $\alpha$ , is one of the most essential requirements. In this context, the selection of optimization technique is crucial from the points of lesser reaction time, convergence time, global optimal solutions, etc. Considering all these aspects, three algorithms, namely IEAM, PSO, and GA,

Table 2. Algorithm parameters.

Optimization technique	Parameters
	Iteration: 200
	Population size: 50
IEAM	Alpha: 0.2
	Beta: 0.5
	$F_{avg}/F(G_i)$ :0.8
	Iteration: 200
	Population size: 50
PSO	Inertia Weight: 0.9
	Cognitive Coefficient: 1
	Maximum Velocity: 5
GA	Iteration: 200
UA	Population size: 50

are used as optimization tools to determine the optimal solution of the protection coordination problem. These algorithms have been executed several times with different sets of parameters to obtain the minimum total operating time of primary relays with lesser iterations, as shown in Table 2.

Each algorithm has been executed 50 times to evaluate the reproducibility of the results obtained. Subsequently, with these results, various statistical parameters, such as best time, worst time, etc., have been calculated for overall comparison. Fig. 2 shows the flowchart of the overall procedure to achieve the best optimal solution. The common optimal settings of DOCRs have been determined with the following steps shown in Fig. 3.

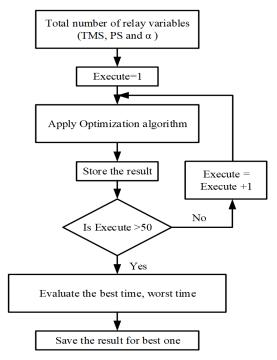


Fig. 2. Procedure to obtain the best optimal settings.

## 3. TEST SYSTEM AND SIMULATION

The performance of the proposed relay coordination scheme has been tested on the IEEE-14 bus benchmark system's low voltage (11kV) section, as shown in Fig. 4 [27]. It consists of two 20 MVA IIDGs connected at buses B2 and B7 and a 50 MVA conventional rotating machine-based DG connected at bus B1. In GCM, the 7-bus microgrid has the highest generation capacity of 60 MVA linked to the sub-transmission system through buses B3 and B6. The maximum short-circuit levels of the generators are

250 MVA, 80 MVA, 300 MVA, 300 MVA, and 80 MVA connected at B1, B2, B3, B6, and B7, respectively.

In the test system, three-phase midpoint faults have been created at different fault locations (L1- L8). Based upon these fault locations, the 22 relay pairs (primary and backup relays) have been identified, for each operating mode. The system is equipped with 16 DOCRs (R1 to R16), providing complete protection to the 7-bus microgrid. Relay pairs are formed by considering different fault locations. For example, R1 and R2 (forward operation) are the primary relays for fault at L1. The backup relays for RL1 are R3 and R5 (reverse operation), whereas R7 (reverse operation) is the backup relay for R2. The fault currents and voltages in both operating modes of the microgrid, along with the details of 22 primary backup relay pairs, are shown in Table 3. The  $CT_{Ratio,i}$  for the relays are found using Eq. (15), where,  $I_{f \max,i}$  and  $I_{L \max,i}$  are the maximum short circuit current and maximum load current for the  $i_{th}$  relay, respectively, as shown in Table 4 [6].

$$CT_{Ratio,i} = Maximum \left(I_{L \max,i}, \frac{I_{f \max,i}}{20}\right)$$
 (15)

## 4. RESULTS AND DISCUSSION

The performance of dual-setting DOCRs coordination with MI characteristics has been tested in GCM and ISM. Further, common optimal settings of dual-setting DOCRs have been determined to remain valid in both operating modes, simultaneously. The results of the proposed coordination scheme have been compared with the existing techniques, namely, conventional DOCRs, time-voltage-current-based DOCRs, and dual-setting DOCRs.

## 4.1. Optimal coordination of conventional DOCRs, time-voltage-current-based DOCRs, and dual-setting DOCRs

The optimal protection coordination study of conventional DOCRs, DOCRs with time-voltage-current-based characteristics, and dual-setting DOCRs has been presented in this section. The performance of the protection scheme has been accessed by determining the common optimal setting of relays, valid for both GCM and ISM of the 7-bus microgrid.

Table 5 shows the optimal relay settings and total operating time of the conventional DOCRs, time-voltage-current-based DOCRs, and dual-setting DOCRs with MI characteristics, i.e., the combination of the SI, VI, and EI characteristics. For conventional DOCRs, time-voltage-current-based DOCRs, and dual-setting DOCRs, the total operating time of relays with common optimal settings are 15.1320s, 13.7985s, and 11.4531s, respectively. For time-voltage-current-based DOCRs,  $\alpha$  is an optimally determined variable, as shown in Table 4. The least total operating time of relays is obtained using dual-setting DOCRs. The operating time of primary and corresponding backup relay pairs and the actual LCT for conventional DOCRs, DOCRs with time-voltage-current-based characteristics, and dual-setting DOCRs with the common optimal settings are shown in Figs. 5, 6, and 7, respectively. The first pairs of 22 relays and the remaining 22 relay pairs correspond to the GCM and ISM.

Furthermore, it is found that LCT is always greater than the fixed CTI. It is observed that the performance of the coordination scheme is best for dual-setting DOCRs as the total operating time of relays is the least. It indicates that the best solution can be obtained if the time-voltage-current characteristics are combined with the features of dual-setting DOCRs. Therefore, the protection coordination study of time-voltage-current-based dual-setting DOCRs is discussed in Section 4.2.

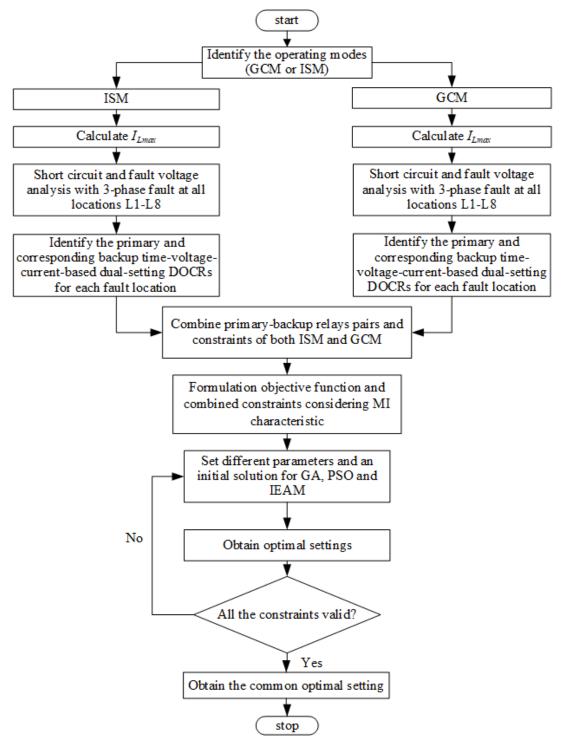


Fig. 3. Proposed coordination scheme for common optimal settings of time-voltage-current-based dual-setting DOCRs.

# 4.2. Protection scheme with time-voltage-current-based dual-setting DOCRs

The upgraded time-voltage-current-based dual-setting DOCR can operate for both forward and reverse directions of fault currents. The optimal relay settings and total operating time of the modified dual-setting DOCRs with the MI characteristics for ISM and GCM are shown in Tables 6 and 7, respectively. However, the common optimal settings of the relays valid for either of the operating modes are shown in Table 8. The optimal values of  $\alpha$  obtained in different modes are shown in Tables 6, 7, and 8,

respectively.

Fig. 8 depicts the operating time of primary-backup relay pairs and actual LCT in ISM and GCM. Whereas, with the common optimal settings, the operating time and LCT of the relay pairs are shown in Fig. 9. The operating time of DOCRs and LCTs of primary and corresponding backup relay pairs with common relay settings are less than that of individual ISM and GCM. Also, the LCT is always more than the fixed CTI. Thus, the least operating time is obtained with the common optimal settings for the proposed time-voltage-current based dual-setting DOCRs.

FL	S. N.	P	B (dual)	GC	GCM ISM			IS	SM	GCM		
					Cur	rents		Voltages				
				$I_{fprim}$ (A)	$I_{fback}$ (A)	$I_{fprim}$ (A)	$I_{fback}$ (A)	$V_{rv}$ (pu)	$V_{fw}$ (pu)	$V_{fw}$ (pu)	$V_{rv}$ (pu)	
L1	1	R1	R3	4830	1914	3612	384	0.1334	0.1334	0.1784	0.1784	
	2	R1	R5	4830	812	3612	564	0.1334	0.1334	0.1784	0.1784	
	3	R2	R7	3435	2069	2305	726	0.0851	0.0851	0.1269	0.1269	
L2	4	R3	R1	5737	1641	4844	959	0.0674	0.0674	0.0798	0.0798	
	5	R3	R5	5737	694	4844	333	0.0674	0.0674	0.0798	0.0798	
	6	R4	R14	6430	1587	2140	954	0.0298	0.0298	0.0895	0.0895	
	7	R4	R15	6430	543	2140	1057	0.0298	0.0298	0.0895	0.0895	
L3	8	R5	R1	5503	1308	3968	928	0.1126	0.1126	0.1562	0.1562	
	9	R5	R3	5503	1600	3968	323	0.1126	0.1126	0.1562	0.1562	
	10	R6	R16	3357	1980	2345	835	0.0665	0.0665	0.0953	0.0953	
L4	11	R7	R2	3519	1988	3087	1469	0.0879	0.0879	0.1002	0.1002	
	12	R8	R9	5374	1170	1963	1403	0.0559	0.0559	0.1530	0.1530	
L5	13	R9	R8	8019	1948	2678	2087	0.0230	0.0230	0.0690	0.0690	
	14	R10	R11	2792	2607	2076	1886	0.0179	0.0179	0.0240	0.0240	
L6	15	R11	R10	4777	4648	2197	2008	0.0437	0.0437	0.0951	0.0951	
	16	R12	R13	3590	3544	2470	2419	0.0492	0.0492	0.0714	0.0714	
L7	17	R13	R12	2926	2879	1548	1486	0.0325	0.0325	0.0614	0.0614	
	18	R14	R4	6626	2206	3862	2548	0.0811	0.0811	0.1391	0.1391	
	19	R14	R15	6626	964	3862	1173	0.0811	0.0811	0.1391	0.1391	
L8	20	R15	R4	5673	1219	3048	2091	0.0824	0.0824	0.1534	0.1534	
	21	R15	R14	5673	1245	3048	2091	0.0824	0.0824	0.1534	0.1534	
	22	R16	R6	3115	1829	2606	1233	0.0705	0.0705	0.0842	0.0842	

Table 3. Currents and voltages in GCM and ISM for primary (P) and backup relay (B) pairs.

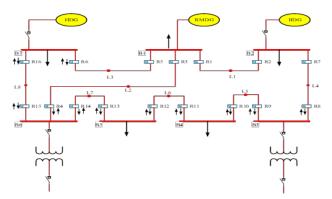


Fig. 4. The 7-bus microgrid (low voltage part of the IEEE-14 bus system).

Table 4. CTR of each dual-setting DOCRs.

DOCR	Conventional DOCRs	dual-settin	g DOCRs
	CTR for each relay	CTR for primary relay	CTR for backup relay
	CTR for each relay	CTR for primary relay	CTR for backup relay
R1	2000/5	2000/5	1000/5
R2	1000/5	1000/5	2000/5
R3	3000/5	3000/5	2000/5
R4	2000/5	2000/5	3000/5
R5	1600/5	1600/5	1000/5
R6	1000/5	1000/5	1600/5
R7	2500/5	2500/5	1600/5
R8	1600/5	1600/5	2500/5
R9	2500/5	2500/5	1200/5
R10	1200/5	1200/5	2500/5
R11	1200/5	1200/5	2500/5
R12	2500/5	2500/5	1200/5
R13	800/5	800/5	3000/5
R14	3000/5	3000/5	800/5
R15	1600/5	1600/5	1600/5
R16	1600/5	1600/5	1600/5

## 4.3. Coordination constraint violations and comparison of the results obtained

The number of coordination constraint violations in all operating modes of the microgrid with MI characteristics are shown in Table 9. For each protection scheme, several constraints violations occur when the optimal settings for the GCM are applied to the ISM and vice-versa. Furthermore, with the obtained common optimal settings, no constraints are violated in either of the operating

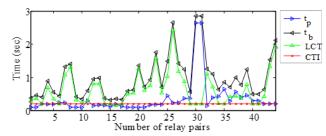


Fig. 5. Operating time and LCT of conventional DOCR for common optimal settings using IEAM.

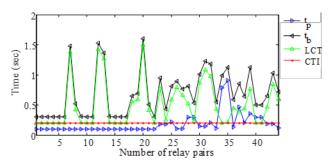


Fig. 6. Operating time and LCT of time-voltage-current-based DOCRs for common optimal settings using IEAM.

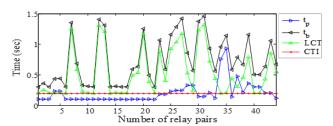


Fig. 7. Operating time and LCT of dual-setting DOCRs for common optimal settings using IEAM.

modes. Therefore, the obtained common settings are the best

Table 5. Common optimal settings of DOCRs with MI characteristics using IEAM algorithm.

Relay	Conventio	nal DOCRs	Time-volta	age-current-	based DOCRs		Dual-setti	ng DOCRs		CS
	TMS (s)	PS (A)	TMS (s)	PS (A)	$\alpha$	$TMS_{FW}$	$PS_{FW}$	$TMS_{RV}$	$PS_{RV}$	_
						(s)	(A)	(s)	(A)	
R1	0.592	0.553	0.104	1.427	0.209	0.210	0.938	0.841	0.656	2
R2	0.986	0.866	0.291	1.500	0.296	0.453	0.916	0.336	0.524	2
R3	0.168	0.762	0.162	0.773	0.026	0.101	0.500	0.100	0.500	3
R4	0.115	0.609	0.161	0.597	0.639	0.236	0.500	0.255	0.541	1
R5	0.388	0.974	0.647	1.297	1.287	0.253	0.500	0.100	0.854	1
R6	0.236	0.512	0.139	1.023	0.220	0.546	0.810	0.255	0.688	2
R7	0.737	0.500	0.100	1.494	0.558	0.100	0.500	0.166	0.770	1
R8	0.240	0.710	0.160	1.441	0.800	1.089	0.570	0.829	0.543	2
R9	0.124	1.500	0.535	0.546	0.464	0.647	0.712	0.263	1.220	2
R10	0.116	1.484	1.080	0.516	0.306	0.162	0.514	0.410	0.500	1
R11	1.020	0.759	1.092	0.921	0.621	0.826	0.774	0.354	0.534	2
R12	0.432	0.532	0.188	0.774	0.042	0.236	0.524	0.709	0.875	2
R13	0.428	1.114	0.944	0.771	0.207	0.446	0.966	0.142	0.949	2
R14	0.239	0.796	0.277	1.524	1.697	0.365	0.651	0.290	1.124	2
R15	0.100	1.259	0.329	0.934	1.068	0.100	1.238	0.222	0.526	1
R16	0.234	0.500	0.138	0.895	0.277	0.135	0.505	0.156	0.797	1
OBJ	15.1	1320s		13.7985	s		11.4	1531s		

Table 6. Optimal settings of time-voltage-current-based dual-setting DOCRs in ISM using IEAM.

Relay	$TMS_{FW}$ (s)	$PS_{FW}$ (A)	$\alpha$	$TMS_{RV}$ (s)	$PS_{RV}$ (A)	$\alpha$	CS
R1	0.3500	0.5866	0.0352	0.1000	0.5324	0.0144	2
R2	0.8417	0.9551	1.3062	0.1000	0.5000	0.2969	2
R3	0.9670	0.5000	0.2022	0.9081	0.5000	1.2930	1
R4	0.1340	0.5145	0.0005	0.1000	0.8651	0.0613	2
R5	0.1607	0.5467	0.0001	0.3034	0.5000	1.8138	2
R6	0.9244	0.5170	0.3907	0.9440	1.8125	1.0820	1
R7	0.1000	0.8835	0.2644	1.1000	0.8856	2.1199	2
R8	1.1000	0.5000	1.3671	0.6410	0.5106	1.3727	2
R9	0.1343	0.5145	0.0001	0.5335	0.5000	0.2500	2
R10	0.3500	0.7629	0.2557	0.4854	0.5000	0.3280	2
R11	0.9750	1.2823	2.3943	0.5078	0.5000	0.1232	2
R12	0.2054	0.5815	0.7588	0.1138	0.9250	1.1185	2
R13	1.1000	0.8905	2.0807	0.1156	0.8048	1.3473	2
R14	0.1810	0.5331	0.0000	0.3564	1.1059	0.0798	2
R15	0.8299	0.5000	0.6609	0.1000	0.8331	0.5347	2
R16	0.3426	0.5442	0.2217	0.1000	0.5000	1.2736	2
OBJ			14	1.2754 s			

Table 7. Optimal settings of time-voltage-current-based dual-setting DOCRs in GCM using IEAM.

Relay	$TMS_{FW}$ (s)	$PS_{FW}$ (A)	$\alpha$	$TMS_{RV}$ (s)	$PS_{RV}$ (A)	$\alpha$	CS
R1	0.2475	0.9213	0.1788	0.3266	1.8058	1.5348	2
R2	1.0906	0.9399	1.0931	0.1000	1.5000	1.0625	2
R3	0.1000	1.5000	0.7509	0.9626	0.5801	1.6145	2
R4	0.7273	0.8073	0.4231	0.1111	0.9664	0.8639	2
R5	0.6325	1.1587	0.9600	0.4128	0.7335	1.6003	2
R6	1.0941	0.5726	0.0156	0.3739	0.7881	0.6660	2
R7	0.2253	0.5514	0.0352	0.9750	0.5642	0.6738	2
R8	1.1000	0.5701	0.0156	0.2189	1.4729	2.3906	2
R9	0.3795	0.9650	0.0811	0.6000	1.5000	3.2887	2
R10	0.7939	0.8021	1.0987	0.6876	1.2294	1.1812	2
R11	0.4673	1.3326	0.5636	0.2875	0.8865	0.6523	2
R12	0.9750	0.7537	2.2972	0.5425	1.7083	1.1431	2
R13	0.4374	1.0329	0.1094	0.9750	0.6178	1.1250	2
R14	0.3878	0.6887	0.1550	0.9489	1.2590	1.5070	2
R15	0.2485	0.5318	0.0156	0.4100	0.5000	1.4011	1
R16	0.7600	0.7928	1.4233	0.8424	0.8203	1.5313	2
OBJ			11	.1658 s			

Relay	$TMS_{FW}$ (s)	$PS_{FW}$ (A)	$\alpha$	$TMS_{RV}$ (s)	$PS_{RV}$ (A)	$\alpha$	CS
R1	0.9456	0.5000	0.3154	0.8188	0.9191	1.0996	2
R2	0.5936	0.9063	0.3119	1.1000	0.5000	1.2188	2
R3	0.1000	0.6916	1.0327	0.7689	0.5000	2.4856	3
R4	0.9153	0.5000	1.5075	0.4690	0.8672	1.2761	1
R5	0.1000	2.0000	0.6728	0.6929	0.5398	1.8929	1
R6	0.7429	0.7046	0.0508	0.2058	1.4996	1.5185	2
R7	0.1021	0.8114	0.6514	1.1000	0.5000	1.5703	1
R8	0.1696	1.4361	0.0000	1.0684	0.8162	1.1333	2
R9	0.6362	0.7808	0.1984	0.1453	1.8174	0.2454	2
R10	0.6000	0.5000	1.3194	0.5103	1.4985	1.6390	1
R11	0.7436	0.9619	0.3660	0.6002	0.5000	0.3843	2
R12	1.1000	0.5000	1.5588	1.0844	1.3750	1.4314	2
R13	0.7672	0.7609	0.0625	0.8500	0.5000	0.5242	2
R14	0.1000	1.5000	0.4675	1.1000	0.7500	0.5708	2
R15	0.2128	1.0057	0.6446	0.5438	0.6732	1.3003	1
R16	0.1411	0.7574	0.5181	0.1849	1.4375	1.0186	1
OBJ			10	0.9450 s			

Table 8. Common optimal settings of time-voltage-current-based dual-setting DOCRs using IEAM.

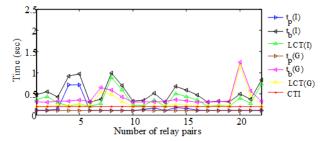


Fig. 8. Operating time and LCT of dual-setting relay pairs in GCM and ISM using IEAM.

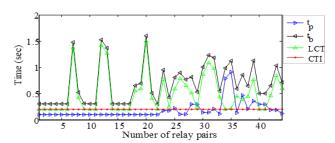


Fig. 9. Operating time and LCT of dual-setting relay pairs with common optimal settings using IEAM.

solution to the relay coordination problem in microgrids.

Table 10 compares the total operating time of DOCRs for all the protection schemes in the GCM, ISM, and common operating modes (for GCM and ISM) of the microgrid. The total operating time in both modes is significantly less for the proposed time-voltage-current-based dual-setting DOCRs. The total relay operating times are reduced by 27.69%, 20.66%, and 10.40% in common mode compared to conventional DOCRs, time current-based DOCRs, and dual-setting DOCRs, respectively. To validate the effectiveness of the proposed scheme, the IEAM results are compared to the PSO and GA, which shows that IEAM performs better in terms of the total operating time of the DOCRs for both operating modes of the microgrid.

### 5. CONCLUSIONS

The performances of four coordination schemes, namely, conventional DOCRs, time-voltage-current-based DOCRs, dual-setting DOCRs, and proposed time-voltage-current based dual-setting DOCRs with MI characteristics, have been investigated to solve the relay coordination schemes. This paper proposes

a modified protection coordination scheme for microgrids with time-voltage-current-based dual-setting DOCRs. The proposed scheme considered MI characteristics to determine common optimal settings of time-voltage-current-based dual-setting DOCRs, providing proper coordination in both GCM and ISM. The protection coordination problem is formulated as an MINLP problem and optimally solved using IEAM. Based on the studies carried out in this paper, time-voltage-current-based dual-setting DOCRs has been found to be the best one among the four schemes studied. The proposed relay coordination scheme is shown to significantly reduce the operating time of relays compared to conventional approaches. On the other hand, it is found that with the common settings of DOCRs, proper coordination in both GCM and ISM can be achieved. Furthermore, it is found that the performance of IEAM is better compared to the PSO and GA concerning the total operating time of the relays. Future work can be extended to calculate the relay characteristics coefficient ( $A_D$ and  $B_D$ ) as continuous variables for estimating the performance of protection schemes with different standard and user-defined relay characteristics.

#### **DECLARATIONS**

Conflict of interest The authors declare no conflicts of interest regarding this article.

Research involving Human Participants and/or Animals This article contains no studies with human participants or animals performed by authors.

**Informed consent** All authors have approved the manuscript and agree with its submission to the Journal for publication.

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Table 9. Coordination constraint violations in each operating mode.

Settings	Algorithm			Numbe	er of coordination	on cons	n constraint violations			
calculated				GCM		ISM				
		DC	OCRs	Dual-s	etting DOCRs	DC	OCRs	Dual-s	setting DOCRs	
		TC	TCV	TC	TCV	TC	TCV	TC	TCV	
GCM	IEAM	0	0	0	0	5	5	6	6	
ISM	IEAM	11	11	12	2	0	0	0	0	
Common settings	IEAM	0	0	0	0	0	0	0	0	

\*TC: Time-current-based characteristic

\*TCV: Time-voltage-current-based characteristic

Table 10. Comparative analysis of the total operating time of DOCRs using standard and time-voltage-current-based characteristics.

Mode	Algorithm	То	Total operating time (second)				% Percentage reduction in time compared with time-voltage-current-based dual-setting DOCRs				
		DO	CRs	Dual-set	ting DOCRs	DO	CRs	Dual-setting DOCRs			
		TC	TCV	TC	TCV	TC	TCV	TC			
GCM	IEAM	21.05	20.88	16.75	14.27	32.20	31.65	14.80			
	PSO	25.52	23.46	18.73	17.58	31.31	25.06	6.13			
	GA	26.33	24.34	19.45	18.50	29.73	23.99	4.88			
ISM	<b>IEAM</b>	21.96	21.05	18.47	11.16	49.18	46.98	39.57			
	PSO	29.21	28.21	22.32	14.67	49.77	47.99	34.27			
	GA	30.11	28.90	23.67	15.02	50.11	48.02	36.54			
For	<b>IEAM</b>	15.13	13.79	11.45	10.94	27.69	20.66	10.40			
both	PSO	17.98	16.85	15.29	13.76	23.47	18.33	10.00			
modes	GA	18.45	17.21	16.98	15.01	18.64	12.78	11.60			

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